

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Notice of Allowance (Form PTOL-85) Mailed May 6, 2004

Hoon-tae KIM, et al.

Art Unit: 2831

Serial No.

10/635,472

Examiner Nguyen T. HA

Filed:

August 7, 2003

Confirmation No.

8537

For:

HIGH-CAPACITANCE CAPACITOR HAVING MULTI-

LAYERED VERTICAL STRUCTURE

REQUEST FOR ACKNOWLEDGEMENT OF ENTRY OF INFORMATION DISCLOSURE STATEMENT FILED FEBRUARY 17, 2004

MAIL STOP: ISSUE FEE Commissioner for Patents Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement under 37 C.F.R. §1.56 (copy enclosed) was timely filed on February 17, 2004, as evidenced by the attached photocopy of the card receipt bearing the date stamp of OIPE. The application was formally allowed on May 6, 2004. A copy of Form PTO/SB/08A (page 1 of 2), initialed by the Examiner to verify that the cited references had been made of record, has not been provided to undersigned counsel. [Note: A copy of PTO/SB/08B (page 2 of 2), listing cited publications, initialed by the Examiner, was appended to the Notice of Allowability of May 6, 2004.] It is requested that the Examiner specifically consider these references, and that an initialed copy of Form PTO/SB/08A (pg 1 of 2) be provided to counsel.

Respectfully submitted,

Date: May 13, 2004

Eugene M. Lee, Reg. No. 32,039

LEE & STERBA, P.C. 1101 WILSON BOULEVARD, SUITE 2000 ARLINGTON, VA 22209 703.525.0978 TEL 703.525.4265 FAX

PETITION and DEPOSIT ACCOUNT CHARGE AUTHORIZATION

This document and any concurrently filed papers are believed to be timely. Should any extension of the term be required, applicant hereby petitions the Director for such extension and requests that any applicable petition fee be charged to Deposit Account No. 50-1645.

If fee payment is enclosed, this amount is believed to be correct. However, the Director is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. <u>50-1645</u>.

Any additional fee(s) necessary to effect the proper and timely filing of the accompanying-papers may also be charged to Deposit Account No. 50-1645.

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on May 13, 2004.

Susan G. Werbow

Name of Person Signing Certificate



Docket No. 249/386

LEE & STERBA, P.C.

The "Received" stamp of the U.S. Patent & Trademark Office hereon acknowledges receipt of the following:

In re the Application of

Hoon-tae KIM, et al.

Serial No.

10/635,472

Filed:

For:

August 7, 2003

Art Unit:

2831

Examiner:

Nguyen T. HA

 $Confirmation \ No.$

8837

HIGH-CAPACITANCE CAPACITOR HAVING MULTI-LAYERED VARTICAL STRUCTURE

☑ Information Disclosure Statement under 37 C.F.R. §1.56 with Form PTO/SB-08 & 2 References



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hoon-tae KIM, et al.

Art Unit:

2831

Serial No.

10/635,472

Examiner: Nguyen T. HA

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8837

For:

HIGH-CAPACITANCE CAPACITOR HAVING MULTI-LAYERED VARTICAL STRUCTURE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 81.56

Commissioner for Patents Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 (or PTO-1449, modified) is a listing of a document(s) known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. §1.56.

A copy of each listed document is being submitted to comply with the provisions of 37 C.F.R. §§1.97 & 1.98. [Box checked if applicable.]

A copy of each listed non-U.S. patent and/or patent publication and cited literature is being submitted to comply with the provisions of 37 C.F.R. §§1.97 & 1.98. Cited U.S. patents and patent publications are not attached in accordance with the waiver set forth in the Notice by the Deputy Commissioner for Patent Examination Policy dated July 11, 2003, applicable to applications having a U.S. filing date after June 30, 2003. [Box checked if applicable.]

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

The listed document(s) is/are being submitted in compliance with 37 CFR

□ The listed document(s) is/are being submitted in compliance with 37 C.F.R.

1.97(b)(3): submission before the mailing date of the first action on the merits. [Box checked if applicable.]

□ The foregoing document came to applicant's attention during the prosecution of their corresponding _____ application. □ A copy of the _____ Search Report is also attached. [Box(es) checked if applicable.]

CERTIFICATION

The undersigned hereby certifies in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement. [Box checked if applicable.]

* * *

Applicant respectfully requests that the listed document(s) be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

FEE PAYMENT

☑ The fee mandated by 37 C.F.R. §1.17(p) is believed applicable. [Box checked if applicable.]
 ☑ Attached is a check in payment of this fee (\$180.00). [Box checked if applicable.]
 ☑ This fee may be charged to Deposit Account No. 50-1645. (A duplicate copy of this paper is enclosed.) [Box checked if applicable.]

Respectfully submitted,

February 17, 2004

Date

Eugene M. Lee, Registration No. 32,039



LEE & STERBA, P.C. 1101 WILSON BOULEVARD, SUITE 2000 ARLINGTON, VA 22209 703.525.0978 TEL 703.525.4265 FAX

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JUL 2 9 2004 PRADEMANTED PROPERTY.

PTO/SB/08A (04-03)
Approved for use through 04/30/2003. OMB 0651-0031
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U.S. Patent and Trademark Office; U.S. DEPART MENT OF COMMERCE
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Substitute for form 1449/PTO		Complete II MIOWII			
		Application Number	10/635,472		
INFORM.	ATION DISCLOSURE	Filing Date	August 7, 2003		
		First Named Inventor	Hoon-tae KIM, et al. 2831		
	ENT BY APPLICANT	Art Unit			
(Use a	as many sheets as necessary)	Examiner Name	Nguyen T. HA	• .	
pet 1	of 2	Attorney Docket Number	240/385		

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Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f. Innown)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,297,524 B1	10-02-2001	VATHULYA, et al.	
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Examiner	Cite	Foreign Patent Document	IGN PATENT DOCU Publication	Name of Patentee or	Pages, Columns, Lines,	_
	No.1		Date	Applicant of Cited Document	Where Relevant Passages	1
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.